1177613

FORM D

UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION



Name of Offering (check if this is an amendment and name has changed, and indicate change.)								
Navigator Telecommunications LLC Rule 506 Private Placement									
Filing Under (check box(es) that apply): ☐ Rule 504 ☐ Rule 505 ☐ Rule 506	Section 4(6) ULOE								
Type of Filing: New Filing Amendment	RECD S.E.C.								
A. BASIC IDENTIFICATION DATA									
1. Enter the information requested about the issuer JUL 1 3 2002									
Name of Issuer (check if this is an amendment and name has changed, and indicate change.) 1 302 = 0 2002								
Navigator Telecommunications, LLC.									
	elephone Number (Inb Octob) Area								
8525 Riverwood Park Drive, North Little Rock, AR 72113	Code) (501) 954-4000								
Address of Principal Business Operation (Number and Street, City, State, Zip Code)	Telephone Number (Including Area								
(if different from Executive Offices)	Code)								
Brief Description of Business Competitive Local Exchange Provider (CLEC)									
Type of Business Organization									
☐ corporation ☐ limited partnership, already formed	Other, please specify								
☐ business trust ☐ limited partnership, to be formed	limited liability company								
Month Year									
Actual or Estimated Date of Incorporation or Organization: [09] [1997]	al Estimated								
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for	State:								
CN for Canada; FN for other foreign jurisdiction	[AR]								
	25:								
GENERAL INSTRUCTIONS									

Federal:

Who Must File: All issuer making an offering of securities in reliance on an exemption under Regulation D.or. Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering Anotice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or. if received at that address after the date on which it is due, on the date it was mailed by United State (registered or certified máil to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: there is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administration in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

	-				
2. Enter th	ne information req	A. BASIC uested for the following:	IDENTIFICATION DATA		
• Each b			organized within the past fi dispose, or direct the vote o		0% or more of a class of equity
 Each e and 	xecutive officer a	nd director of corporate is	suers and corporate gener	al and managing	partners of partnership issues;
	eneral and manag	ging partner of partnership	issuers.	· · · · · · · · · · · · · · · · · · ·	
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner		Director	☑ General and/or Managing Partner
Full Name (Last McAlister, Loui	name first, if indiv	vidual)			
Business or Res	idence Address (I	Number and Street, City, s			
Check Box(es) that Apply:	☐ Promoter	⊠ Beneficial Owner	☑ Executive Officer	Director	☑ General and/or Managing Partner
Full Name (Last Parr, Stephen L	name first, if indiv	ridual)			,
Business or Res	idence Address (I	Number and Street, City, s th Little Rock, Ar 72113	tate, Zip Code)		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	⊠ Executive Officer	☐ Director	☑ General and/or Managing Partner
Ferra, Dennis,					
		Number and Street, City, s rth Little Rock, Ar 72113	tate, Zip Code)		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	Director	☑ General and/or Managing Partner
James W. McDe		·			
	idence Address (1 04, Louisville, K	Number and Street, City, s Y 40204	tate, Zip Code)		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner		☐ Director	☑ General and/or Managing Partner
Full Name (Last Parr, Travis L.	name first, if indiv	vidual)			
Business or Res		Number and Street, City, s on Heights, MI 48071	tate, Zip Code)		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	☑ General and/or Managing Partner
Full Name (Last Hackmeyer, F.	name first, if indiv	vidual)			
Business or Res		Number and Street, City, s N 38177	tate, Zip Code)		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	☐ Director	☑ General and/or Managing Partner
Parker, David C					
	idence Address (l ce, Carmel, NY 10	Number and Street, City, s)512	tate, ∠ip Code)		

Check Box(es) that Apply:	☐ Promoter	Beneficial Owner	☐ Executive Officer	Director	☑ General and/or Managing Partner							
Full Name (Last Noriea, Nick F.,	name first, if indiv	ridual)										
Business or Res		Number and Street, City, st	ate, Zip Code)		VA							
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner	☐ Executive Officer	Director	⊠ General and/or Managing Partner							
Full Name (Last EFO Navigator	name first, if indiv	ridual)										
Business or Res	Business or Residence Address (Number and Street, City, state, Zip Code) 2626 Cole Avenue, Suite 700, Dallas, TX 75204											
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	Director	⊠ General and/or Managing Partner							
Full Name (Last Fisher, Alfred J	name first, if indiv	ridual)										
Business or Res	idence Address (I	Number and Street, City, st Farms, MI 48082	tate, Zip Code)	12-1-17-17-17-17-17-17-17-17-17-17-17-17-1								
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner		Director	☐ General and/or Managing Partner							
Full Name (Last LeDoux, Kenric	name first, if indiv	ridual)										
Business or Res	idence Address (I	Number and Street, City, st b. Box 13860, North Little										
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner		Director	☐ General and/or Managing Partner							
Full Name (Last Stotelmyer, Day	name first, if indiv	ridual)										
Business or Res	idence Address (I	Number and Street, City, st b. Box 13860, North Little		9 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100	411							
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner		Director	☐ General and/or Managing Partner							
Full Name (Last Rooks, Jahazy	name first, if indiv	idual)										
Business or Res	idence Address (I	Number and Street, City, st b. Box 13860, North Little		-								
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	☐ General and/or Managing Partner							
Full Name (Last	name first, if indiv	ridual)										
Business or Res	idence Address (I	Number and Street, City, st	ate, Zip Code)									
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner	Executive Officer	Director	☐ General and/or Managing Partner							
Full Name (Last	name first, if indiv	ridual)										
Business or Res	idence Address (I	Number and Street, City, st	ate, Zip Code)									
	(Use b	lank sheet, or copy and us	e additional copies of this s	sheet, as necessar	ry.)							

					B. II	NFORM/	ATION AE	SOUT OF	FERING					
1.	Has the	issuer s	sold, or do	es the is	ssuer inte	nd to sell	, to non-a	ccredited	d investor	s in this o	offering?.	••••••	Yes	No ⊠
			An	swer-als	o in Appe	ndix, Col	umn 2, if	filing und	ler ULOE.					
2.	What is the minimum investment that will be accepted from any individual?													
3.	Does the offering permit joint ownership of a single unit?													
associa dealer.	remunera Ited perso If more	ation for on or ago than fiv	solicitation	n of pur broker or rsons to	chasers in dealer re	n connec egistered	tion with with the	sales of SEC and	securities	s in the o	offering. r states, l	If a persist the na	y, any comm on to be list ame of the b ou may set f	ed is an proker or
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Busines	s or Res	idence A			and Stree		tate, Zip C	Code)						
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Full Na	me (Last		st, if indiv	idual)							<u> </u>			
	ss or Res	idence A	ddress (1	Number	and Stree	t, City, S	tate, Zip C	Code)						
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Name o		atea Brok	ker or Dea	aier										
					d or Inten	ds to So	licit Purch	asers						
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Name o	of Associa	ated Brol	ker or Dea	aler										
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(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

		RING PRICE, NUMBER OF				
1.	is "none" or "zero".	offering price of securities in If the transaction is an exities offered for exchange ar	change offering,	check this		
					Aggregate Offering Price \$ \$	Amount Already Sold \$ \$
		s (including warrants)			\$1,750,000 \$	\$1,750,000 \$
LLC.)	Other (Specif	y Membership		in	\$6,000,000	\$4,111,624
			• • • • • • • • • • • • • • • • • • • •		\$7,750,000	\$5,861,624
		Answer also in App	oendix, Column 3	3, if filing un	nder ULOE	
2.	dollar amounts of th	accredited and non-accredite eir purchases. For offerin gregate dollar amount of the	gs under Rule :	504, indica	te the number of pers	sons who have purchased
		otors			Number Investors 42 0	Aggregate Dollar Amount of Purchases \$ 5,861,624 \$ 0
		ngs under Rule 504 only)			Ū	\$
		Answer also in App	pendix, Column 4	l, if filing un	nder ULOE	
3.	date, in offerings of t	offering under Rule 504 or the types indicated, in the tweed in Part C – Question 1.				
	Regulation ARule 504				Type of Security	Dollar Amount Sold \$ \$ \$ \$
4.	Exclude amounts rel	atement of all expenses in on ating solely to organization amount of an expenditure is	expenses of the	issuer. T	he information may be	given as subject to future
	Printing and Engravir Legal Fees Accounting Fees Engineering Fees	sg Costs				\$ \$39,121 \$ \$ \$138,601 \$124,288
<u>fees</u>						
	Total					\$302,010

C. OFFERING	PRICE, NUMBE	R OF INVESTORS, EXPENSE	S AND USE OF PROCEE	OS					
and total expenses furnish	Enter the difference between the aggregate offering price given in response to Part C – Question 1 and total expenses furnished in response to Part C – Question 4.a. This difference is the "adjusted gross proceeds to the issuer".								
shown. If the amount for a	ny purpose is not	ross proceeds to the issuer use known, furnish an estimate and sted gross proceeds to the iss	d check the box to the left o	f the estimate. The total					
Salaries and fees	and installation of plant buildings and sses (including the sused in exchang to a merger)ss	Payments to Officers, Directors & Affiliates \$ \$0 \$ \$\$ \$ \$0 \$ \$\$ \$ \$\$ \$ \$\$ \$ \$\$ \$	Payments to Others \$ \$ \$ \$ \$ \$ \$1,100,000 \$ \$500,000 \$\$3,347,990 \$2,500,000 \$\$17,990						
		D. FEDERAL SIGNATURE							
The issuer has duly caused this no the following signature constitutes written request of its staff, the infor 502.	tice to be signed l an undertaking b	by the undersigned duly autho y the issuer to furnish to the	U.S. Securities and Excha	inge Commission, upon					
Issuer (Print or Type)	Signature	The state of the s	Date						
Navigator Telecommunications, LLC.	Dail E.	Steelmages	06/11/2002						
Name of Signer (Print or Type)		Title of Signer (Print or Type)							
David E. Stotelmyer		CFO							
Intentional misstaten	nents or omissions	ATTENTION of fact constitute federal crimin	nal violations. (See 18 U.S.	C. 1001.)					

		E. STATE SIGNA	TIIDE					
1.	Is any party described in 17 CFR 230.252(c), (d), (e) or (f) presently subject to any of the disqualification provisions of such rule?							
		See Appendix, Column 5, fo	r state response.					
2.		ereby undertakes to furnish to any si 239.500) at such times as required by	tate administrator of any state in which this notice is filed σ state law.	l, a				
3.	The undersigned issuer he by the issuer to offerees.	ereby undertakes to furnish to the sta	te administrators, upon written request, information furnish	ned				
4.	Uniform Limited Offering E	xemption (ULOE) of the state in which	with the conditions that must be satisfied to be entitled to the this notice is filed and understands that the issuer claiminat these conditions have been satisfied.					
	ssuer has read this notification signed duly authorized person		d has duly caused this notice to be signed on its behalf by t	the				
Issue	r (Print or Type)	Signature	Date					
Navig	gator Telecommunications,	Dail C. Sulmyn	06/11/2002					
Name	e of Signer (Print or Type)	Title of Signer (Pri	nt or Type)					
David	I E. Stotelmyer	CFO						

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

APPENDIX

1	2	<u> </u>	3			4			5	
'	Intend		Type of security and	Type	of Investor and a	mount purchased in	state		ification	
	to n		aggregate offering	1,700		C-Item 2)	olato	under State		
	accre		price offered in state		(, 3.1	o 110111 2)		ULOE		
	invest		(Part C-Item1)						ach	
	Sta		(1 411 5 1151111)					ation of		
	(Part B								granted)	
	(r are b	110/11 1)						(Part E		
State	Yes	No		Number of	Amount	Number of	Amount	Yes	No	
				Accredited		Non-Accredited		, 50	'''	
				Investors		Investors				
AL			interest in LLC.			0	\$0	$\vdash \sqcap$		
AK			interest in LLC.			0	\$0	 		
AZ	H		interest in LLC.		 	Ö	\$0	╅	-	
AR	H		interest in LLC.&	2	\$530,000	0	\$0	+H	-	
^'`			convertible		ψ330,000	'	Ψυ	. -		
			securities							
CA		\boxtimes	interest in LLC.		<u> </u>	0	\$0			
co		×	interest in LLC.			0	\$0	+ -	 	
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DC	<u> </u>		interest in LLC.		 	0	\$0 \$0	+ 4	 	
	_;;	XX		22	£4 040 504			│ 	<u> </u>	
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IL		\boxtimes	interest in LLC.			0	\$0	<u> </u>		
JN		\boxtimes	interest in LLC.	1	\$250,000	0	\$0			
IA		\boxtimes	interest in LLC.			0	\$0			
KS		\boxtimes	interest in LLC.		<u></u>	0	\$0			
KY		\boxtimes	interest in LLC.	1	\$512,194	0	\$0			
LA			interest in LLC.			0	\$0			
ME		\square	interest in LLC.			0	\$0			
MD			interest in LLC.			0	\$0			
MA		\boxtimes	interest in LLC.			0	\$0			
MI		\boxtimes	interest in LLC.			0	\$0			
MN			interest in LLC.			0	\$0			
MS		\boxtimes	interest in LLC.		,	0	\$0			
MO		\boxtimes	interest in LLC.			0	\$0			
MT		\boxtimes	interest in LLC.			0	\$0			
NE		\boxtimes	interest in LLC.			0	\$0			
NV		\boxtimes	interest in LLC.			0	\$0			
NH		\boxtimes	interest in LLC.			0	\$0			
NJ		Ø	interest in LLC.			0	\$0			
NM		Ø	interest in LLC.			0	\$0			
NY	THE	Ø	interest in LLC.			0	\$0	1 6		
NC	The second	Ø	interest in LLC.	5	\$208,000	0	\$0	1 H	T T	
ND	H H	Ø	interest in LLC.		1	0	\$0	→ ~	H	
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SD			interest in LLC.			0	\$0	┼-∺-	8	
TN			interest in LLC.&	5	\$1,586,926	0	\$0 \$0	十旹		
114			convertible	3	\$1,300,920	"	φυ			
			securities		1				1	
TX			interest in LLC.	5	\$1,450,000	0	\$0			
UT		\boxtimes	interest in LLC.	J	φ1,450,000	0	\$0 \$0			
VT	- <u> </u> -		interest in LLC.		 	0	\$0 \$0	 		
	ᆸ		interest in LLC.		 -	0	\$0 \$0	1	 	
VA			milerest in LLC.		L	U	⊅U		<u> </u>	

WA	\boxtimes	interest in LLC.	0	\$0	
WV		interest in LLC.	0	\$0	
PR		interest in LLC.	0	\$0	